

Administrative Office of the U. S. Courts

CM/ECF
Release Notes

Bankruptcy Release 3.3

September 2008

Updated December 2008 for Release 3.3.1

Document Change History

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|----------------------|---|
| December 2008 | Updated for Bankruptcy Release 3.3.1 Changes shown in red |
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Introduction

This document summarizes the new features and improvements included in Bankruptcy CM/ECF Release 3.3. See the *CM/ECF Application Administrator's Guide* and the *CM/ECF System Administrator's Guide* for more information. See SDS's "Release Resources" page (<http://training.aotx.ao.dcn/coursedesc.asp?COURSEID=657>) for complete information about dictionary changes.

Release 3.3 Summary

Case Opening

- The new EOUST_stats DPF collects data needed by the Executive Office of the US Trustees (EOUST). It should be added to the lead events for case opening. The partial screen shown below is for a Chapter 7 case.

Note: even though the data is intended for the EOUST, it should also be entered in courts with Bankruptcy Administrators. This screen may be skipped when Clerk's Office staff are opening a case on behalf of a pro se debtor.

| Schedules | |
|--|---|
| Schedule C: Total value of claimed exemptions | <input type="text"/> |
| Schedule I line 1: Monthly gross wages, salary, and commission | Debtor <input type="text"/> Spouse <input type="text"/> |
| Schedule I line 5: Subtotal of payroll deductions | Debtor <input type="text"/> Spouse <input type="text"/> |
| Schedule J line 20c: Monthly net income | <input type="text"/> |
| Form B22A | |
| Line 1A: Veteran's declaration | <input type="checkbox"/> |
| Line 1B: Declaration of non-consumer debts | <input type="checkbox"/> |
| Line 2: Marital/filing status | <input type="text"/> |
| Line 11: Subtotal of current monthly income | Debtor <input type="text"/> Spouse <input type="text"/> |
| Line 14B: Debtor's household size | <input type="text"/> |
| Line 14: Applicable median family income | <input type="text"/> |

Refer to “Docketing” in the Configuration section for associated changes.

- The screen for entering statistical data (OpeningBkStatistics DPF) no longer includes these items for an involuntary case: Prior filing within 8 years, Asset notice, Estimated number of creditors, Estimated Assets, Estimated Liabilities. EMR 2062

Case Upload

- The new statistical fields being collected during case opening (see above) can also be supplied via the case upload function (fields 35-71 of the statistics record). **The value for “Debtor’s aggregate non-contingent liquidated debts < \$2,190.000” (Form B1) must be “y” or null for a Chapter 11 case (for other chapters, or if the file is formatted for earlier releases, this field is ignored).**
- Blank values for these items in the statistics record are now allowed for an involuntary bankruptcy case: Asset notice, Estimated number of creditors, Estimated Assets, Estimated Liabilities (fields 13-16), Prior filing within 8 years (field 24). EMR 2062

An updated description of the data for case upload can be found at the PACER Service Center's site at http://pacer.psc.uscourts.gov/cmecf/developer/case_upload.html.

Claims/Creditors

Also see "Claims Activity" and "Claims Register" in the Reports section.

- Previously, the Notice of Electronic Claims Filing (NECF) showed only the name and email address of recipients (and names of those not notified electronically). Now, an attorney recipient also shows "on behalf of" and the type and name of the party represented; if multiple parties are represented, only the first one is shown from a list sorted by attorney last name, party role description, party first name, and party last name. MR 1503

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| William R. Adams on behalf of Debtor Madeleine Martin wradams@comcast.net |
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- When a claim was filed by an outside user, a copy of the NECF was sometimes not being saved in the file system. This has been corrected. EMR 2151
- Previously, an error occurred at the end of the transaction if the user transferred a claim whose description included special characters (such as an asterisk). Now, the transfer of such a claim completes successfully. MR 2135
- When a creditor is being edited, a "Review Claim" link is displayed; clicking this link produces a Claims Register for the claims owned by that creditor.
 - If the owner of a claim had been changed through an edit or amendment, the "Claimant History" link was not shown. The link is now shown.
 - An error message was displayed if "created by" data was missing from the claims record. This no longer occurs. MR 1847
 - If transferee/transferor data was missing from the transfer record, some creditor data was not shown, and an error message was displayed when the "Claimant History" link was selected. This has been corrected

- When an “editClaim” claim function was processed by ADI, the user making the edit was not being recorded (so "Change Made by" in the Claim History section of the Claims Register reflected the creator of the claim instead). For edits made with Release 3.3, the user is recorded and is correctly displayed on the Claims Register.

Also, changes to "Filed By" and "Date Filed" made via editClaim were not reflected on the Claims Register; such a transaction also caused previous changes made via the Edit Claims utility to be omitted from the history. All changes to these items made with Release 3.3 will be captured and displayed correctly on the Claims Register.

Docketing

Refer to the Configuration section for associated changes.

- ClaimNum DPF
 - Previously, the ClaimNum DPF required the user to enter the numbers of claims in a text box. Now, the user cannot modify the text box but must select from a list of claims that have been filed. MR 1620

| <i>Select claim(s) from list</i> | | | |
|---------------------------------------|----------------|----------------------|-------------------|
| Claims Selected: <input type="text"/> | | | |
| <i>Creditor name</i> | <i>Claim #</i> | <i>Total claimed</i> | <i>Date filed</i> |
| Annapolis Honda (505099043) | 4 | \$21,024.00 | 02/04/2008 |
| Chase (505099045) | 5 | \$5,000.00 | 02/04/2008 |
| Coldwater Creek (505099061) | 7 | \$1,215.00 | 02/07/2008 |

Clicking anywhere in row will cause the number of that claim to be added to the "Claims Selected" box (if previously selected, it will be deselected). Multiple claims may be selected, but each must be clicked individually.

Clicking the "Creditor name" or "Claim #" column header will sort the creditor list by that item; another click reverses the order.

If ClaimNum is preceded by the tranclm DPF, no claim specification screen is displayed, since the transferred claim has already been selected.

- The text box for claim numbers has been increased from 20 to 100 characters. MR 1956
- If the event in which ClaimNum is included is being docketed to multiple cases, the user is prompted to type the claim numbers into a text box, as in previous releases.

- CollectOrderAction DPF

The DPF prompts for an order action on one or more referenced events and on the event being docketed; for each event, “will be reported to SD as” should be displayed along with the appropriate value. Previously, the SD information was not displayed for the current event. This has been corrected.

- DetailCases DPF

- For joint cases that are pending, or were closed with the 3.3 version of the application, the data displayed after the case number/title includes the dismissed date for the joint debtor. For all cases, the format of the labels and data has been modified for readability.
- If the DPF is configured (via DispCaseInfo codes table entries) to display the disposition, it now displays the disposition for both debtors in a joint case.
- If the case selected for docketing is a member case, a message is displayed which includes the lead case number. The lead case number is now a link to that case.
MR 749

- DoForm DPF

Previously, all forms listed for the user were selected by default. Now, if one form is listed, it is automatically selected; if multiple forms are listed, no default selections are made. MR 908

- EditAutoAssign DPF

Previously, the DPF would make multiple trustee assignments for the case in which the debtor had multiple attorneys. This no longer occurs.

- feeapp DPF

Previously, the user was required to select a “Type”; now, type is only required if a fee or expense amount has been entered. MR 2023

- IsFlag conditional test

Previously, the test did not work if multiple flag values were specified. This has been corrected. MR 1903

- List DPF

An optional 4th parameter with a value of “req” requires the user to select a non-blank option from the list. MR 1733

- PreProcessAnswerM DPF
 - Answer due deadlines were not being satisfied/terminated by an answer event docketed via a dispatch that included this DPF. Deadlines are now correctly terminated. MR 2121
 - The ShowPendingAnswers DPF displays a selection list of events for which answers are pending. Based on the user’s selection, a corresponding answer event is identified by PreProcessAnswerM (the Reference Type/Subtype in the answer event definition match the Type/Subtype of the event selected by the user); that event is subsequently docketed. Previously, the wrong answer event was being docketed. This has been corrected.
- TermCaseBk DPF
 - On the statistical data screen, the disposition date must now be entered along with the disposition; for a joint bankruptcy case, a disposition date and disposition are required for at least one of the debtors if the case was closed with the 3.3 version of the application. If the user changes a disposition or date that had already been recorded, a warning message is displayed. MR 936, MR 1910

| Bankruptcy Case Closing Statistics Division Information | |
|--|------------------------|
| <i>Case number: 07-10012-7 Office: 3 Case type: bk Chapter: 7 Date filed: 06/04/2007</i> | |
| Debtor Martin, Madeleine | |
| Disposition | A (Standard Discharge) |
| Date | 06/11/2008 |
| Joint debtor Martin, Mark | |
| Disposition | A (Standard Discharge) |
| Date | 06/11/2008 |

Also, the format of the header information has been changed for readability, and the debtor names are displayed.

- Previously, a second screen of statistical (financial) data was displayed for a Chapter 11 case, or if there were assets in the case. An additional screen is now displayed only for Chapter 11 cases; “Plan Confirmed” has been removed from that screen.

- Trustee's 341 Filings

The screen for entering case-specific data has been changed: instead of one radio button for "Report of No Distribution", there are now 4 options:

| U.S. Bankruptcy Court District of New Jersey (AO-DC) Trustee Tracy Schilling Date: 5/6/2008 | | | | | | | | | | |
|--|----------------------------------|---------------------------------|--------------------------|-----------------------|-----------------------|-----------------------|-----------------------|------|------|-------|
| Case No. | No Action | Report of No Distribution (NDR) | | | | Initial Report | Continue To | Date | Time | AM/PM |
| | | No Funds | Dismiss/Convert No Funds | Dismiss/Convert Funds | Min Funds | | | | | |
| 08-10025 Adrieene Harris | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | | |
| 08-10026 Alanda Harris | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | | |
| 08-10029 Linda Marie Alba Lichardi | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | | |

Submit Clear

Selecting any of the options except "Dismiss/Convert No Funds" displays additional data that was previously entered, and calculated values based on them:

| Case No. | No Action | Report of No Distribution (NDR) | | | | Initial Report | Continue To | Date | Time | AM/PM |
|---|-----------------------|----------------------------------|--------------------------|--------------------------------|-----------------------|-----------------------------------|-----------------------|------------------|----------|-------|
| | | No Funds | Dismiss/Convert No Funds | Dismiss/Convert Funds | Min Funds | | | | | |
| 07-10012-7 Madeleine Martin and Mark Martin | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | | |
| | | Real Property (6A) | 1000.00 | Personal Property (6B) | 5000.00 | Assets Exempt (6C) | 0.00 | Assets Abandoned | 6000.00 | |
| Claims Discharged | | Secured Claims (6D) | 12345.00 | Unsecured Priority Claims (6E) | 4367.00 | Unsecured Nonpriority Claims (6F) | 0.00 | Claims Scheduled | 16712.00 | |

| Case No. | No Action | Report of No Distribution (NDR) | | | | Initial Report | Continue To | Date | Time | AM/PM |
|---|-----------------------|---------------------------------|--------------------------|----------------------------------|-----------------------|-----------------------|-----------------------|------|------|-------|
| | | No Funds | Dismiss/Convert No Funds | Dismiss/Convert Funds | Min Funds | | | | | |
| 07-10012-7 Madeleine Martin and Mark Martin | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | | | |
| Assets Collected | | | | | | | | | | |

| Case No. | No Action | Report of No Distribution (NDR) | | | | Initial Report | Continue To | Date | Time | AM/PM |
|---|-----------------------|---------------------------------|--------------------------|--------------------------------|----------------------------------|-----------------------------------|-----------------------|------------------|----------|-------|
| | | No Funds | Dismiss/Convert No Funds | Dismiss/Convert Funds | Min Funds | | | | | |
| 07-10012-7 Madeleine Martin and Mark Martin | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input checked="" type="radio"/> | <input type="radio"/> | <input type="radio"/> | | | |
| Assets Collected | | Real Property (6A) | 1000.00 | Personal Property (6B) | 5000.00 | Assets Exempt (6C) | 0.00 | Assets Abandoned | 6000.00 | |
| Claims Discharged | | Secured Claims (6D) | 12345.00 | Unsecured Priority Claims (6E) | 4367.00 | Unsecured Nonpriority Claims (6F) | 0.00 | Claims Scheduled | 16712.00 | |

The calculated values may be modified.

If the user selects one of the NDR options, the values in the editable fields are saved in a set of variables when the screen is submitted:

| Variable | Value |
|-------------------|---|
| no_months_pending | The number of months the case was pending |
| assets_abandoned | The dollar value of assets that were abandoned |
| assets_exempt | The dollar value of claimed exemptions |
| claims_scheduled | The dollar value of claims scheduled to be paid |
| claims_discharged | The dollar value of claims discharged without payment |
| funds_collected | The dollar value of funds collected. |
| trustee_name | The name of the user docketing the event. (usually the trustee) |

As before, a docket entry is automatically made for each case on which there is an action, as specified in one of the “TR7” Site table entries; docket text definitions use the variables shown above. See “Site Table” in this summary section for new “TR7” entries; see the Configuration section for details of docket events used by this process.

- The new TrNoDistribution DPF makes it possible to collect the same data as described above for the Trustee’s 341 Filings program, but for a single case. It is included in four individual “no distribution” events corresponding to the four possible actions; in each of these events, the DPF’s parameter specifies which set of fields will be displayed. These events are the same ones whose docket text definitions are used by the Trustee’s 341 Filings program.
- Previously, the UpdateJudTr341Info DPF always updated the count of cases assigned to a trustee or judge who was terminated earlier in the same event. Now, the count is adjusted if the termination was recorded via the TermTr or TermJud DPF but not if it was recorded by the EditAutoAssign DPF (which has already adjusted case counts).
- Automatic Docketing Interface (ADI)

*See Appendix A of the **CM/ECF System Administrator’s Guide** for a complete list of ADI tags.*

- The “closecase” docket function may now include the “dispositionDate”, “dispositionDateJoint”, and “dispositionCodeJoint” tags. If either dispositionDate or dispositionCode is included, both must be given; likewise for dispositionDateJoint and dispositionCodeJoint.
- The “modcase” docket function may now include the “dispositionDate”, “dispositionDateJoint”, “dispositionCode”, “dispositionCodeJoint”, “dateDischargeJoint”, and “dateDismissJoint” tags. For open cases and for cases closed with the 3.3 version of the application, if either dispositionDate or dispositionCode is included, both must be given; likewise for dispositionDateJoint and dispositionCodeJoint.

- These tags for the “closecase” docket function are no longer valid:

| | | | |
|------------------|---------------------|-------------------|-----------------|
| grossReceipts | unsecuredDistr | trusteeCh9_11Comp | distr7_9_11 |
| totalTrusteeComp | equitySecurityDistr | trusteeCh12Comp | distr12_13 |
| trusteeAttyFees | otherPayments | trusteeCh13 Comp | debtorPayments |
| debtorAttyFees | totalDistr | otherProfFee | securedClaims |
| securedDistr | planConfirmed | totalExp | priorityClaims |
| priorityDistr | trusteeCh7Comp | subTotalDistr | unsecuredClaims |

- Notice of Electronic Filing (NEF)

- Previously, only the name and email address was shown in the list of recipients (and names of those not notified electronically). Now, an attorney recipient also shows “on behalf of” and the type and name of the party represented; if multiple parties are represented, only the first one is shown from a list sorted by attorney last name, party role description, party first name, and party last name. MR 1503

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| William R. Adams on behalf of Debtor Madeleine Martin wradams@comcast.net |
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- Previously, an outside user who clicked a document link in the NEF multiple times was sometimes not allowed the appropriate “free look”. Instead, he saw an error message: “Could not display /tmp/XXXXX-X-ecf.pdf, it does not exist.” This has been corrected.
- Previously, an outside user who clicked a document link in the NEF for a “free look” was being forced to enter a PACER login if they were using Internet Explorer. This has been corrected. MR 2254

Noticing

- There are new properties that can be included in a template:

dispMethjt - the disposition for the joint debtor

bkDscDatejt - the date on which the joint debtor was discharged

bkDsmDate - the date on which the case or first debtor was dismissed

bkDsmDatejt - the date on which the joint debtor was dismissed

For the three “bk” properties above, “N/A” will be displayed on the notice (and in the EDI section of the BNC data file) if the corresponding database field is null; this is now true for the existing “bkDscDate” property as well. Elsewhere in the application, the DateDispJdb and DispNullText site table entries may affect the display of disposition/discharge/dismissal data; however, those entries are not considered when any of the properties listed above are included in a notice.

Refer to the Configuration section for associated changes.

- The release includes updated standard templates for the Proof of Claim (b10.jsp) and the Notice of Chapter 11 Bankruptcy Case (b9f.jsp).
- A value for “Asset Notice” is no longer required for opening an involuntary case [see Case Opening]. However, a value is needed for an EDI notice, so EDI data now includes a value of “n” for a chapter 7 case and “y” for a chapter 11 case.

Query

- Check boxes for open and closed cases have been added to the selection screen. MR 1303

| Search Clues | | | |
|----------------------|----------------------|-------------------------------------|---------------------------------------|
| Case Number | <input type="text"/> | | |
| Last / Business Name | <input type="text"/> | (Examples: Desoto, Des*t) | |
| First Name | <input type="text"/> | Middle Name | <input type="text"/> |
| SSN / ITIN | <input type="text"/> | Tax ID / EIN | <input type="text"/> |
| Type | <input type="text"/> | <input type="checkbox"/> Open cases | <input type="checkbox"/> Closed cases |

- For a bankruptcy case that was closed with the 3.3 version of the application, the abbreviated case data displayed at the top of the screens now includes the dismissed date; discharged and dismissed dates for the joint debtor are displayed for a joint case. MR 642

- Case Summary

The discharged date, dismissed date, and the disposition for the joint debtor are displayed for a joint bankruptcy case if the case was closed with the 3.3 version of the application. MR 642

- Associated Cases

Closed cases are now marked with “(closed)” after the case title. If the case has been archived, court users will see “(archived)” instead. MR 2065

- Status

Previously, if the case status (stored in the database as a code) did not have a corresponding description in the codes table (StatusType), the Status column was blank. Now the status code is displayed if there is no description. MR 2069

Reports

[In alphabetical order by name of report]

- AO Statistical Reports

- The following items are no longer required for an involuntary case: Prior filing within 8 years, Asset notice, Estimated number of creditors, Estimated Assets, Estimated Liabilities. EMR 2062
- The following items are no longer included in the Bankruptcy Closed Cases Report or the corresponding data file:

Receipts, Trustee Comp, Trustee Atty Fees, Debtor Atty Fee Comp, Other Prof Fee Expenses, Secured Distributions, Unsecured Distributions, Priority Distributions, Equity Security Distributions, Debtor Distributions, and Other Distributions.
- Disposition date (collected via the TermCaseBk DPF) is now included in the statistics file and reports. For a joint bankruptcy case, the disposition date and disposition for the joint debtor are also reported. Appropriate validations have been added for these fields. MR 936, MR 1910
- If a disposition is set and the case is closed on different days within the same month, the disposition is only reported through the case closing record.
- The header record in the data file now includes counts of each record type. Also, the original filing date is reported for opened, changed, and closed cases.

- Automatic Discharge/Closing

- When a case is closed, the disposition date for the debtor (bk_date_disp) is now set to the current date; for a joint case, the disposition date and the disposition method for the joint debtor (bk_date_disp_jt, bk_disp_method_jt) are given the same values as for the primary debtor.
- The disposition method and dismissed date for the joint debtor are now considered when eligibility for discharge is evaluated: if either debtor has a disposition value or a dismissed date, the case will be eliminated via the “standard criteria” and thus will not appear on the “ineligible” list.
- The language in error messages 28-29, 31-32, and 36-37 has been revised in accordance with the addition of data for the joint debtor.
- Changes to the style of column headers and to the font have been made as a part of an ongoing effort to standardize the format of CM/ECF reports.

- Calendar – Daily

The “Unassigned” option in the judge selection list is now named “Unassigned hearing judge”

- Calendar – Monthly

- The number of the last case requested in the current CM/ECF session is now filled in by default. MR 2114
- The “Unassigned” option in the judge selection list is now named “Unassigned hearing judge”.

- Calendar Events

- Previously, judge selection applied only to the judge assigned to the matter (hearing judge). Now, the user can designate whether the hearing judge, the case judge, or both should be considered; that portion of the selection screen is shown below. The “Unassigned” option in the judge selection list is now named “Unassigned hearing judge”. EMR 2116

Calendar Events

Case number

Judge

- Bean, Roy Robert II
- Blackshear, Cornelius Julian II

Hearing judge
 Case judge
 Hearing or case judge

- The number of the last case requested in the current CM/ECF session is now filled in by default. MR 2114

- Case Flags

- For a joint bankruptcy case that was closed with the 3.3 version of the application, the discharged and dismissed dates for the joint debtor are displayed.
- Changes to the style of column headers and to the font have been made as part of an ongoing effort to standardize the format of CM/ECF reports.

- Cases Report

- If a joint bankruptcy case was closed with the 3.3 version of the application, the joint debtor's disposition, discharged date, and dismissed date are displayed in addition to those items for the first debtor. The "data only" output also includes these items. In addition, the format of the data record is the same for all types of cases, and whether or not party information is requested; previously, the number and order of the fields was different for adversary and bankruptcy cases.

These are the fields in the new data record:

1. Formatted case number with 2-digit year
2. Formatted case number with 4-digit year
3. [CM/ECF internal]
4. If this is a bankruptcy case, the chapter under which it was filed.
5. If this is a bankruptcy case that has been converted, the previous chapter.
6. If this is a bankruptcy case, the date on which an order of conversion was filed.
7. If this is a bankruptcy case, the date on which the case or the first debtor was discharged.
8. Date on which the case or the first debtor was dismissed.
9. Date on which the case was filed or reopened.
10. Date on which the case was entered into CM/ECF.
11. Date on which the case was closed.
12. The disposition code for the case, or for the first debtor in a joint case.
13. Code that identifies the type of case, "ap" = adversary proceeding, "bk" = bankruptcy, "mp" = miscellaneous proceeding.
14. If this is a bankruptcy case, the trustee's last name.
15. Judge's last name
16. If this is a bankruptcy case, the name of the county in which it was filed.
17. The name of the office in which the case was filed.
18. If this is a bankruptcy case, status of filing fee payment: "paid", "installment", or blank.
19. If this is a bankruptcy case, are there assets in the case? "yes", "no", or blank.
20. If this is a bankruptcy case, the disposition of the case or of the first debtor (e.g., "Discharge granted").
21. If this is a joint bankruptcy case, the discharge date of the joint debtor.
22. If this is a joint bankruptcy case, the dismissal date of the joint debtor.
23. If this is a joint bankruptcy case, the disposition code for the joint debtor.
24. If this is a joint bankruptcy case, the disposition for the joint debtor.
25. If this case is an adversary proceeding, a code for the divisional office in which the bankruptcy case was filed.
26. If this case is an adversary proceeding, the sequence number for the related bankruptcy case.
27. If this case is an adversary proceeding, the year (1 or 2 digits) of the related bankruptcy case.
28. If this case is an adversary or miscellaneous proceeding, its disposition (e.g., "Dismissed for want of prosecution").
29. First name
30. Middle name
31. Last name
32. Generation
33. Office or agency
34. 1st line of address
35. 2nd line of address

- | |
|--|
| <ul style="list-style-type: none">36. 3rd line of address37. City38. State39. Zip code40. Country41. Phone42. Social Security Number (the first 5 characters are masked for public users)43. Tax ID44. Sequence number of the party within the case45. The role of the party in the case46. Short title of the case (blank when party information is included) |
|--|

- When a discharged or dismissed date is specified on the selection screen, the data for both debtors in a joint case is checked.
- Changes to the style of column headers and to the font have been made as part of an ongoing effort to standardize the format of CM/ECF reports.
- Claims Activity
 - Previously, administrative claims were not displayed on the report. Now, “Admin claimed” and “Admin allowed” are shown. MR 1722
 - Previously, “Amended by No.” was shown (whether or not an amendment had been filed). This item is no longer included in the report.
- Claims Register
 - Previously, when a claim was transferred to an administrative creditor, that creditor was not identified with the “ADMINISTRATIVE” label. This has been corrected.
 - An error message was displayed if “created by” data was missing from the claims record. This no longer occurs. MR 1847
 - If transferee/transferor data was missing from the transfer record, some creditor data was not shown, and an error message was displayed when the “Claimant History” link was selected. This has been corrected.
 - If a claim was amended/transferred and the original claim was subsequently deleted, the history section no longer showed all of the activity. Now, activity is shown if it occurred after the amendment/transfer; however, it is recommended that a base claim not be deleted so that the full history is retained.
 - An error message was displayed if the name of the creditor who transferred a claim had been modified (either in the creditor record or in the docket text for the transfer). This no longer occurs. MR 2118

- A SQL error message was displayed on the Claim History screen if the claim did not have a value for “Change Made by” (null value cl_created_by field in the claims record). This no longer occurs.
- A change of creditor is shown when the Details link of a claim’s history is clicked, with the “from” and “to” names. For consistency, the label "To:" has been changed to "TO:".
- When the Claims Register was produced for a specific creditor number or creditor name, it sometimes included claims that were previously owned by that creditor, and showed him as the owner; the "Claimant History" link was not shown. This occurred only if the owner had been changed through an edit or amendment. Now, only claims whose current owner matches the specified creditor are listed, and data and links are correctly displayed.
- When a user clicks a link for a claim document, the claim may be displayed with a PDF header, depending on the application configuration and the user’s preferences; the header may include the claim number and part number (e.g., Proof of Claim # 4-1). However, under certain circumstances the header may show only the claim number or only the part number (when an inside user selects one of the editing options “Edit claims”, “Modify the description of claims”, “Delete attachment(s)”, or “Delete claims”). To view the document with both claim and part numbers, right-click the link and open the document in a new tab or window.

- Deadlines/Hearings

Previously, judge selection applied only to the hearing judge. Now, the court user can designate whether the hearing judge, the case judge, or both should be considered; that portion of the selection screen is shown below. The “Unassigned” option in the judge selection list is now named “Unassigned hearing judge”. EMR 2125

Deadlines/Hearings

Case number

Judge

| |
|------------------|
| Burns, Gloria M. |
| Cohen, Robert B |
| Commisa, Vincent |

 Hearing judge
 Case judge
 Hearing or case judge

- Docket Activity

- Check boxes for open and closed cases have been added to the selection screen; the top portion of the selection screen is shown below. MR 739

Docket Activity

Case number

Judge
Amores, Galo Fernando

Office
Green Valley

Case type
bk

Trustee
Adams, Julia Marie

Chapter
9

Filer type
Common Creditor

Category
Type
answer

Event
A SG Forms 'Test'
Add 'Party'

Terminal digit(s) Open cases
 Closed cases

- Previously, the user could select by event category OR by event. Now, both selection criteria can be used. MR 1961
- Previously, the event name was displayed in the Category/Event column only when the Summary Text option was selected. Now, event name is shown for both the Summary Text and the Full Docket Text options. MR 2063

- Docket Report

- PACER users were sometimes being charged for extra pages when the selected case had a large number of parties and/or associated cases. This no longer occurs. EMR 2061
- For a bankruptcy case that was closed with the 3.3 version of the application, the disposition is shown; for a joint case, disposition, discharged date and dismissed date for the joint debtor are displayed.
- Previously, the size of each attached PDF document included in a Docket Report was calculated when the report was produced. Now, the document sizes are calculated only when the “View multiple documents”, “Create Record on Appeal” or “Create Appendix” option is selected.

- Reportable Matters Under Advisement

If multiple documents are filed with a matter listed on the report, the Notes column shows the number of the main document and the Category and Description column shows the number(s) of any attachments. Previously, only the number of the main document was a link to the PDF. Now, attachment numbers are also links.

Utilities

- Archiving
 - An email message is sent when the amount of data in the archive directory accumulates to a specified amount. Previously, the sender of this message was given as “Archiver@uscourts.gov” (an invalid address), and the message had no content. Now, the sender is set to the value of the ScheduleReportFromMail site table entry, and the body of the message provides a detailed description.
 - Previously, the party_derived records were deleted from the live server when a case was archived, so that a VCIS search for a participant’s name did not find the case. Now, party_derived records are kept and VCIS finds the case.
- Conflict Checking
 - The Events column on the report (shown only when it is produced for a single case, or for a single day or date range), showed no information for a conflict between an existing party and a newly-added hearing judge. The Events column now says “Party added to case.”
 - The report did not identify conflicts between the filer of an event and the hearing judge for that matter. This has been corrected.
- Edit Case Data
 - For bankruptcy cases, some labels on the first screen have been changed to “Debtor dismissed”, “Debtor discharged”, and “Debtor disposition”. “Debtor disposition date” has been added, and is required if a disposition is selected. For a joint case, “Joint debtor dismissed”, “Joint debtor discharged”, “Joint debtor disposition date”, and “Joint debtor disposition” also appear. If a joint case was closed with the 3.3 version of the application, a warning message is displayed if neither debtor has values for disposition date and disposition. Also, debtor names have been added to this screen. MR 936

| Debtor Martin,Madeleine | | | |
|-------------------------------|--------------|--------------------------|------------------------|
| Joint Debtor Martin,Mark | | | |
| Chapter | 7 | Previous chapter | |
| Joint | yes | Voluntary | voluntary |
| Fee status | Paid | Fee date | |
| Converted | | Debtor dismissed | |
| Terminated | 6/11/2008 | Joint debtor dismissed | |
| Origin code | 0 (Original) | Reopened | |
| Debtor disposition date | 6/11/2008 | Debtor disposition | A (Standard Discharge) |
| Joint debtor disposition date | 6/11/2008 | Joint debtor disposition | A (Standard Discharge) |
| | | Plan confirmed | |
| | | Debtor discharged | |
| | | Joint debtor discharged | |
| | | Split/transferred | |
| | | County | Atlantic |

- When the application is configured to send an NEF for case data modifications (EditNotify codes table entry for “csdata”), email notification is no longer generated if disposition or disposition date are modified. Notification is now generated if the joint debtor’s discharged date or dismissed date changes.
- If the user checks the “Edit statistical information” box, the statistics screen (Nature of debt, Asset notice, etc.) is displayed. This screen no longer includes data for fees, expenses, distributions, etc.
- The next statistical information screen (Prior filing, Real Property, etc.) now includes a checkbox for “Edit additional statistical information”; if this is checked, a third screen of schedule data is displayed (this example shows part of the screen for a Chapter 7 case; different items are displayed for different chapters):

| Edit Statistical Data | |
|---|---|
| Case number 07-10012 Case type bk Office 3 (Trenton) Filed 6/4/2007 | |
| Schedules | |
| Schedule C: Total value of claimed exemptions | <input type="text"/> |
| Schedule I line 1: Monthly gross wages, salary, and commission | Debtor <input type="text"/> Spouse <input type="text"/> |
| Schedule I line 5: Subtotal of payroll deductions | Debtor <input type="text"/> Spouse <input type="text"/> |
| Schedule J line 20c: Monthly net income | <input type="text"/> |
| Form B22A | |
| Line 1A: Veteran's declaration | <input type="checkbox"/> |
| Line 1B: Declaration of non-consumer debts | <input type="checkbox"/> |
| Line 2: Marital/filing status | <input type="text"/> |
| Line 11: Subtotal of current monthly income | Debtor <input type="text"/> Spouse <input type="text"/> |
| Line 14E: Debtor's household size | <input type="text"/> |
| Line 14: Applicable median family income | <input type="text"/> |
| Line 18: Current monthly income | <input type="text"/> |

- On the statistical data screens, blank values for these items are now allowed for an involuntary case: Asset notice, Number of creditors, Estimated Assets, Estimated Liabilities, Prior filing within 8 years. EMR 2062

- Edit Case Participants

When the “Modify Attorney” option is selected, a list of attorneys is displayed. Previously, only the attorney’s last and first names were shown; now, middle name and generation are included. MR 1980

- Edit Claims

Previously, modifications were not saved if a claim had special characters (such as an asterisk) in the description. Now, edits for such claims complete successfully. MR 2135

- Edit Docket Entries

- Previously, if a document for an entry was replaced (“Add a document” followed by “Delete a document”), the new document did not have the same document number as the original. Now, the same document number is assigned. MR 526
- When an event that relates to a prior event was selected, some data for the selected event was not available for editing (e.g., termination date). Now, data for both the selected event and the related event is presented. EMR 2104 (partial)
- The format of the screen for “Edit All Docket Entry Information” has been modified to more clearly show items which are associated, such as the order action and SD code, and to enhance usability:

| | | | | |
|-------------------------------------|--|------------------|---|---|
| Document number | <input type="text" value="34"/> | Date/time filed | <input type="text" value="07/21/2008"/> | <input type="radio"/> AM <input type="radio"/> PM |
| Date QC | <input type="text" value="7/30/2008"/> | Document type | <input type="text" value="Standard"/> | |
| Election to appeal | <input type="text"/> | Court type | <input type="text"/> | |
| Appellate case number | <input type="text"/> | Rent paid | <input type="text"/> | |
| Property value below value of claim | <input type="text"/> | Punitive damages | <input type="text"/> | |
| Damages 9011FRBP | <input type="text"/> | | | |
| Event: Order - Accounting | | | | |
| Receipt number | <input type="text"/> | Filing fee \$ | <input type="text"/> | |
| Date terminated | <input type="text"/> | Date reopened | <input type="text"/> | |
| Order action | | | | |
| Accounting | <input type="text" value="Granting"/> | | | |
| SD code | <input type="text"/> | | | |

- Edit Document Type Table

The process by which a user unloads the table for viewing or saving into a file has been simplified. The selection screen now offers two options:

| |
|--|
| Unload the Document Type Table to the screen Unload the Document Type Table to a file |
|--|

These options are also available directly from the Utilities menu. MR 2070

Refer to the Configuration section for associated changes.

- Nonworking Days

The option labeled “Enter the year for generating non-working day weekends” has been renamed “Generate non-working day weekends and fixed-date holidays in a year”; New Year’s Day, Independence Day, and Christmas day are automatically set up as non-working days. MR 358

- Quality Control Editor

- Previously, the user could select by event category OR by event. Now, both selection criteria can be used.
- On the editing screen, the “View QC and edit history” button is now a link. Instead of displaying the history in a popup window, the link displays appropriate items on a System Transactions report (those matching the specifications used on the QC selection screen). The original filing is now shown on that report along with those transactions which have modified it. Note: transactions on the report with an ID of 0 are the result of an entry having been marked as checked or rechecked for quality control.
- For joint bankruptcy cases closed after 3.3 is installed, joint debtor disposition is now displayed under the Case Data heading and can be modified on the Case Data screen.
- Changes in retrieval of log information have been made to improve performance.

- System Administrator Reports / System Transactions

Previously, the user could select by event category OR by event. Now, both selection criteria can be used.

- System Administrator Reports / Unusual Activity

A time value of “00:00” was incorrectly flagged as an error. This no longer occurs.

- US Trustee Data Extraction

- Previously, selection was only by a single date; now, selection criteria include case number and a range of **entered or filed** dates:

The screenshot shows a web form titled "US Trustee Data Extraction" with a yellow background. It contains the following elements: a text input field for "Case number"; two radio buttons for "Entered" (selected) and "Filed"; two date input fields for a range, both containing "12/1/2008" and separated by "to"; two checkboxes for "Flat (data) files" (checked) and "PDF (document) files" (unchecked); a radio button for "View recent log entries"; and two buttons at the bottom labeled "Submit" and "Clear".

- For the 3.2 release, the joint debtor’s disposition was added to the file with a null value (after the original disposition, cs_disp_method); this field is now populated. Also, the new fields cs_date_dismiss_jt and cs_date_discharge_jt are included in the file.

Miscellaneous

- A variety of changes have been made throughout the application to improve its performance.
 - Previously, output which included document links (such as the Docket Report) was displayed only after the values for all such links were generated. Now, the value for a document link is generated only if the user clicks or hovers over the link.
 - Site table entries related to Judge/Trustee Assignment are no longer included in the context file, which saves the current runtime environment between screens.
 - The major JavaScript libraries have been consolidated to reduce the number of libraries that must be loaded.
- Previously, the application tracked only one set of data items for the discharge, dismissal, and disposition of a bankruptcy case. Now, these items are recorded for each debtor in a joint case. Many parts of the application display the data for both debtors.

However, the display is misleading for cases that were dismissed or discharged prior to the CM/ECF 3.3 installation (and therefore do not have this data for each debtor) but are still open or have been reopened. For these cases, labels for joint debtor data are shown, but with “N/A”, “None”, or no value – thus it appears that the joint debtor has not been dismissed or discharged when that is not the case.

Refer to the Configuration section for information about adding data to these cases.

- PACER

When a user displayed a claim document, the charges were not being limited to 30 pages (the receipt showed the correct charges but Billing History did not). This has been corrected.
- PDF documents produced with some scanners had PDF headers that were incorrectly positioned. Headers are now positioned correctly for all scanned documents. MR 2108
- The SyncDocuments process could not always be restarted with the RunSyncDocuments script. The process can now be restarted consistently. **See the *CM/ECF System Administrator’s Guide* for more information about SyncDocuments.**

- This release includes a new version of the UpdateStats script (run weekly via a cron job) which updates Informix database statistics in accordance with IBM's recommendations. See the *CM/ECF System Administrator's Guide* for more information about UpdateStats.

Refer to the Configuration section for instructions on running UpdateStats.

- When a document with attachments is accessed via a link on a Notice of Electronic Filing or Notice of Claims Filing, the Document Selection Menu is displayed. A message including the phrase “without incurring a PACER fee” was previously shown under all circumstances. **Now, different messages are displayed, depending on whether any of the documents can be viewed for free.**
- When a document is accessed via a link on a Notice of Electronic Filing or Notice of Claims Filing, “one free look” is allowed. In some circumstances, a user was being allowed additional free looks. This has been corrected.
- US Party/Case Index

For a joint bankruptcy case that is open, or was closed with the 3.3 version of the application, the dismissed date, discharged date, and disposition for the joint debtor are included in the data file; the joint case flag has also been added.

DPFs

Entries in the DPF table are automatically made for these new DPFs during installation.

| | |
|-------------------------|---|
| EOUST_stats | Collects a variety of data from forms/schedules filed in a bankruptcy case. |
| TrNoDistribution | Collects data for the trustee's report of no distribution. |

Site Table

- This entry was created in Release 3.2, but its value is modified to be the 3.3 installation date:

| | |
|--------------------|---|
| DateDispJdb | For joint cases closed on or after this date, a warning is issued if a disposition type and date are not given for either the debtor or the joint debtor; both the debtor's and the joint debtor's dispositions are shown on reports when either disposition is not null. This value also affects the entry and display of other data for joint bankruptcy cases that have been closed [DetailCases DPF, TermCase DPF, ADI, AO Statistical Reports, Cases Report, Case Flags Report, Docket Report, Query-Case Summary, Query header, Edit Case Data, Quality Control Editor, US Party/Case Index, VCIS]. |
|--------------------|---|

Note: The phrase "closed with the 3.3 version of the application" is used throughout this document. This is a simpler way of saying "closed on or after the date in the DateDispJdb site table entry".

- This entry should already exist, but the 3.3 installation process will create it (with a value of "None") if necessary:

| | |
|---------------------|--|
| DispNullText | The text that is displayed in various places in the application when one of the debtor's dispositions is blank or null [DetailCases DPF, Cases Report, Case Flags Report, Docket Report, Query-Case Summary, Query header, Quality Control Editor, US Party/Case Index, VCIS]. |
|---------------------|--|

- This entry is optional. *Refer to the Configuration section (Miscellaneous).*

| | |
|------------------------|--|
| NoPullDownMenus | If this entry exists and has a non-null value, cascading menus are disabled. |
|------------------------|--|

- The following new entries are added by the installation process. *Refer to the Configuration section for associated changes.*

| | |
|-----------------------|---|
| TR7NA_nofund | Type and subtype of the docket event "Trustee's Report of No Distribution - no funds" (trustee,nofund) |
| TR7NA_dcnofund | Type and subtype of the docket event "Trustee's Report of No Distribution - dismiss/convert no funds" (trustee,dcnofund). |
| TR7NA_dcfund | Type and subtype of the docket event "Trustee's Report of No Distribution - dismiss/convert funds" (trustee,dcfund). |
| TR7NA_minfund | Type and subtype of the docket event "Trustee's Report of No Distribution - min funds" (trustee,minfund) |

- The value of the "TRDEFAULT" entry may be modified; if it is set to "TR7NA", it will be changed to "TR7CONT". The "TR7NA" entry is deleted.

Codes Table

- New rows with type "dispbk" are added by the installation process:

| Code | Translation |
|-------------|---|
| T | Dismissed for failure to make plan payments |
| U | Dismissed for failure to pay filing fee and to file information |
| N | Judgment |
| X | Filed in error |
| Z | Closed in error |

Note: before reopening a case that was closed in error, be sure to set the disposition code to "Z" so that it will be correctly reported to the Statistics Division.

- The row with type "dispbk" and code "H" is modified so that the translation reads "Dismissed for failure to pay filing fee".

Refer to the Configuration section for associated changes.

Database Changes

See the *CM/ECF Database Definitions Guide* for a full description of the database.

- **New fields**

case

| | | | |
|----------------------|------|---|---|
| cs_date_dismiss_jt | DATE | Y | Filing date of the docket entry that dismissed the joint debtor. |
| cs_date_discharge_jt | DATE | Y | Filing date of the docket entry that discharged the joint debtor. |

This field was added in Release 3.2 but is first being used in Release 3.3:

| | | | |
|-------------------|---------|---|--|
| cs_disp_method_jt | CHAR(1) | Y | The disposition code for the joint debtor. |
|-------------------|---------|---|--|

sardbk

These fields were added in Release 3.2 but are first being used in Release 3.3:

| | | | |
|-------------------|---------|---|--|
| bk_date_disp | DATE | Y | Disposition date for the case or for the first debtor. |
| bk_disp_method_jt | CHAR(1) | Y | The disposition code for the joint debtor. |
| bk_date_disp_jt | DATE | Y | Disposition date for the joint debtor. |

These fields are added at the end of the record for Release 3.3:

| | | | |
|--------------------------|-----------------|---|---|
| bk_tot_claim_exempt | DECIMAL (14, 2) | Y | Total value of claimed exemptions (Schedule C). |
| bk_sched_i_mo_income_d | DECIMAL (14, 2) | Y | Debtor's monthly Income (Form B6I, Line 1) |
| bk_sched_i_mo_income_s | DECIMAL (14, 2) | Y | Spouse's monthly Income (Form B6I, Line 1) |
| bk_mo_payroll_deduct_d | DECIMAL (14, 2) | Y | Debtor's monthly payroll deduction (Form B6I, Line 5) |
| bk_mo_payroll_deduct_s | DECIMAL (14, 2) | Y | Spouse's monthly payroll deduction (Form B6I, Line 5) |
| bk_mo_net_income | DECIMAL (14, 2) | Y | Monthly net income (Form B6J, Line 20C) |
| bk_agr_liq_debt_two_mil | CHAR(1) | Y | Value is "y" if "Debtor's aggregate non-contingent liquidated debts are less than \$2,190,000" is checked (Form B1). |
| bk_vets_decl_exempt | CHAR(1) | Y | Value is "y" if "Veteran's Declaration" is checked (Form B22A, Line1A) |
| bk_decl_non_consumer | CHAR(1) | Y | Value is "y" if "Declaration of non-consumer debts" is checked (Form B22A, Line 1B) |
| bk_marital_filing_status | CHAR(1) | Y | Marital/filing status: a=unmarried; b=married, filing separately w/ separate households; c=married, filing separately w/o separate households; d=married, filing jointly (Form B22A Line 2) |
| bk_subtot_cur_mon_inc_d | DECIMAL (14, 2) | Y | Subtotal of debtor's current monthly income (Form B22A Line 11) |
| bk_subtot_cur_mon_inc_s | DECIMAL (14, 2) | Y | Subtotal of spouse's current monthly income (Form B22A Line 11) |
| bk_median_fam_income | DECIMAL (14, 2) | Y | Applicable median family income (Form B22A, Line 14) |
| bk_fam_size_for_income | SMALLINT | Y | Debtor's household size (Form B22A, Line 14 B) |

Summary

| | | | |
|-----------------------------|-----------------|---|---|
| bk_mo_income_after_deduct | DECIMAL (14, 2) | Y | Current monthly income for § 707(b)(2) (Form B22A, Line 18) |
| bk_natl_stds_costs | DECIMAL (14, 2) | Y | National standard amount for food, clothing and other items (Form B22A, Line 19A) |
| bk_natl_stds_fam_members_jr | DECIMAL (14, 2) | Y | National standard amount for health care, household members under 65 (Form B22A, line 19B c1) |
| bk_natl_stds_fam_members_sr | DECIMAL (14, 2) | Y | National standard amount for health care, household members 65 and older (Form B22A, line 19B c2) |
| bk_loc_stds_non_mtg | DECIMAL (14, 2) | Y | Local standard amount for housing and utilities, non-mortgage (Form B22A, Line 20A) |
| bk_loc_stds_mtg | DECIMAL (14, 2) | Y | Local standard amount for housing and utilities, net mortgage/rent (Form B22A, Line 20B) |
| bk_loc_stds_num_vehicle_use | SMALLINT | Y | Number of vehicles for which debtor pays an operating expense (Form B22A, Line 22A) |
| bk_loc_stds_trans | DECIMAL (14, 2) | Y | Local standard amount for vehicle operation or public transportation (Form B22A, Line 22A) |
| bk_loc_stds_public_trans | DECIMAL (14, 2) | Y | Local standard amount for additional public transportation (Form B22A, Line 22B) |
| bk_loc_stds_num_vehicle_own | SMALLINT | Y | Number of vehicles for which debtor pays an ownership/leasing expense (Form B22A, Line 23) |
| bk_veh1_net_exp | DECIMAL (14, 2) | Y | Net ownership/lease expense for Vehicle 1 (Form B22A, Line 23C) |
| bk_veh2_net_exp | DECIMAL (14, 2) | Y | Net ownership/lease expense for Vehicle 2 (Form B22A, Line 24C) |
| bk_tot_exp_suba | DECIMAL (14, 2) | Y | Total expenses allowed under IRS standards (Form B22A, Line 33) |
| bk_education_exp | DECIMAL (14, 2) | Y | Education expenses for dependent children under 18 (Form B22A, Line 38) |
| bk_addl_food_cloth | DECIMAL (14, 2) | Y | Additional food and clothing expense (Form B22A, Line 39) |
| bk_tot_exp_subb | DECIMAL (14, 2) | Y | Total additional expense deductions under § 707(b) (Form B22A, Line 41) |
| bk_tot_deduct_subc | DECIMAL (14, 2) | Y | Total deductions for debt payment (Form B22A, Line 46) |
| bk_tot_deduct | DECIMAL (14, 2) | Y | Total of all deductions allowed under § 707(b)(2) (Form B22A, Line 47) |
| bk_mo_disp_income | DECIMAL (14, 2) | Y | Monthly disposable income under § 707(b)(2) (Form B22A, Line 50) |
| bk_60mo_disp_income | DECIMAL (14, 2) | Y | 60-month disposable income under § 707(b)(2) (Form B22A, Line 51) |
| bk_init_presump | CHAR(1) | Y | Initial presumption determination (Form B22A, Line 52) |
| bk_tot_unsec_debt | DECIMAL (14, 2) | Y | Total non-priority unsecured debt (Form B22A, Line 53) |
| bk_threshold_debt_paymt | DECIMAL (14, 2) | Y | Threshold debt payment amount (Form B22A, Line 54) |
| bk_secondary_presump | CHAR(1) | Y | Secondary presumption determination (Form B22A, Line 55) |

- Indices on these fields are added to the **pacер_billing** table: **pb_sent_to_psc**; **pb_create_date** and **pb_create_time** (separately and in combination).
- An index on **ug_prid** is added to the **user_group** table.

- The logic for the **dktext** view is being changed. Docket text records related to “virtually archived” cases (i.e., cases archived pre-3.2 using the Records Management menu) are now included in the view.
- Rows in the **pacер_program** table for the Docket Activity Report and for Query are modified to include the new open/closed criteria in **pp_runtime_parms**.
- New rows are added to the **dpf** table for EOUST_stats, TrNoDistribution
- The installation process changes the specification for “next extent size” of the **log_document** and **pacер_billing** tables to 300000.
- The installation process creates a new entry in the **menu** table for “Unload the Document Type Table to a file” (DocTypeFile.pl), and for “Special Joint Cases Report”. They are placed on the Utilities menu (New R3.3 Menu Items). Administrator and Judge groups are given permission for the unload program, and the Administrator group for the special report. Also, the name of “Unload a Document Type Table” is changed to “Unload the Document Type Table to the screen”.

Refer to the Configuration section for associated changes.

Configuring Bankruptcy Release 3.3

This section describes configuration changes required for Bankruptcy Release 3.3.

Docketing

See SDSD's "Release Resources" page (<http://training.aotx.ao.dcn/coursedes.asp?COURSEID=657>) for complete, detailed information about dictionary changes.

Here are some highlights of the dictionary changes that you may need to make:

- Add the EOUST_stats DPF to the lead "volp" events for case opening (chapters 7, 11, 12, 13). In events which include SumSchTotal DPF, you may want to place EOUST_stats just after it. EOUST_stats should be followed by the EndScreen DPF. **Note: do not add the EOUST_stats DPF to lead events used by case upload (specified in site table entries LeadCsUpldChapter?Event).**

| EOUST_stats | |
|---------------------------|--|
| Purpose | Collects a variety of data from forms/schedules filed in a bankruptcy case. |
| Parameters | None. |
| Processing effect | Displays a screen for entry of the fields (varies by chapter). Existing values, if any, are shown. |
| Database effect | Updates the sardbk record. |
| Docket text effect | None. |
| Constraints | Should be followed by the EndScreen DPF. |

- Create a simple event which allows for the updating of the EOUST data. Below are suggested values to be used.

```
Type/subtype:  misc/updeoust
Functions:     file;EOUST_stats;
Select Text:   Update EOUST Stats
Docket Text:  EOUST Statistical information updated.
Summary Text: Update EOUST Stats
List:         misc
```

This event might be used when a case is converted. Note: the DPF should not be included in the "order to convert" event.

- Modify the definition of events that discharge or dismiss the debtor to update the disposition and disposition date for the debtor and the joint debtor. Here is a possible definition for an order on a motion to dismiss a debtor:

```
file;
doc(Paper3);
If2(IsOrderAction('Granting'));
  If2(IsValue('case','cs_joint','y'));
    List('Which debtor is being dismissed?','party','first debtor:second debtor:both debtors');
    EndScreen();
  EndIf2;
  If2(IsVariable('party','first debtor','eq') or
    IsVariable('party','both debtors','eq') or
    IsVariable('party','','eq'));
    List('Disposition method for the first debtor:','DispMethod','dispbk');
    SetSardField('bk_disp_method','DispMethod');
    SetSardField('bk_date_disp','DateFiled');
    SetCaseField('cs_date_dismiss','DateFiled');
  EndIf2;
  If2(IsVariable('party','second debtor','eq') or
    IsVariable('party','both debtors','eq'));
    List('Disposition method for the joint debtor:','JtDispMethod','dispbk');
    SetSardField('bk_disp_method_jt','JtDispMethod');
    SetSardField('bk_date_disp_jt','DateFiled');
    SetCaseField('cs_date_dismiss_jt','DateFiled');
  EndIf2;
  TermPty('Granting');
EndIf2;
```

- Events which convert a case may use the EditAutoAssign DPF to make appropriate changes to trustee, judge, or the 341 meeting. Be sure to use the “TermTr” and “Term341” specifications, e.g., EditAutoAssign('TermTr','Term341','SelTr','Set341') – otherwise, an error may occur.
- Define two sets of new events for Chapter 7 Trustee’s Report of No Distribution. One set is used for the automatic docketing of the Trustee’s 341 Filings menu option (as designated in the TR7NA_* site table entries), and uses SatRelSched to satisfy the 341 meeting deadline; the second set is used to record the NDR for a single case and uses RelSched. The event that is docketed automatically via Trustee’s 341 Filings needs to include only SatRelSched in the list of DPFs, since no other DPFs will work. For both sets, the docket text language is identical, and is designed to match that of UST Form 102-7-NDR so be sure to copy it exactly; however, you may add text as indicated (e.g., stating that the Section 341 creditor’s meeting has closed). Since the two sets of events differ in only a few places, you can create one version, then select it and modify the subtype along with those details (in bold on the following 4 pages).

Note: the old “trustee / narpt” event should no longer be used; you can change the subtype code to “xnarpt” to indicate this.

dismissed or converted, some funds collected

Event used by Trustee's 341 Filings:

| | |
|--------------|--|
| Type/subtype | trustee / dcfund |
| Functions | SatRelSched('341 mtg','sat'); |
| Select text | Chapter 7 Trustee's Report of No Distribution - dismissed or converted, some funds collected |
| Docket text | Chapter 7 Trustee's Report of No Distribution: ~FormatText('I, {trustee_name},')~ having been appointed trustee in the estate of the above-named debtor(s), report that this case was dismissed, converted, or reassigned. I collected funds ~FormatText('totaling: \$ {funds_collected}.','Not Available.').~ All funds have been returned or transferred to the successor trustee. All bank statements and canceled checks, if any , have been submitted to the United States Trustee. The bank statements reflect a final zero balance and no other funds or assets of the estate remain in my custody. Pursuant to Fed R Bank P 5009, I hereby certify that the chapter 7 estate of the above-named debtor(s) has been fully administered. I request that I be discharged from any further duties as trustee. <i>[Insert any additional text at or before this point]</i> Key information about this case as reported in schedules filed by the debtor(s) or otherwise found in the case record: ~FormatText('This case was pending for {months_pending} months.').~ Assets Abandoned: Not Applicable, Assets Exempt: Not Applicable, Claims Scheduled: Not Applicable, Claims Asserted: Not Applicable, Claims scheduled to be discharged without payment: Not Applicable. |
| List | xtrust |

Event for Bankruptcy Events / Trustee list:

| | |
|--------------|--|
| Type/subtype | trustee / dcfunman |
| Functions | file;RelSched('341 mtg','s');TrNoDistribution('dcfund');Flags(reset,ASSET); |
| Select text | Chapter 7 Trustee's Report of No Distribution - dismissed or converted, some funds collected |
| Docket text | Chapter 7 Trustee's Report of No Distribution: ~FormatText('I, {trustee_name},')~ having been appointed trustee in the estate of the above-named debtor(s), report that this case was dismissed, converted, or reassigned. I collected funds ~FormatText('totaling: \$ {funds_collected}.','Not Available.').~ All funds have been returned or transferred to the successor trustee. All bank statements and canceled checks, if any , have been submitted to the United States Trustee. The bank statements reflect a final zero balance and no other funds or assets of the estate remain in my custody. Pursuant to Fed R Bank P 5009, I hereby certify that the chapter 7 estate of the above-named debtor(s) has been fully administered. I request that I be discharged from any further duties as trustee. <i>[Insert any additional text at or before this point]</i> Key information about this case as reported in schedules filed by the debtor(s) or otherwise found in the case record: ~FormatText('This case was pending for {months_pending} months.').~ Assets Abandoned: Not Applicable, Assets Exempt: Not Applicable, Claims Scheduled: Not Applicable, Claims Asserted: Not Applicable, Claims scheduled to be discharged without payment: Not Applicable. |
| List | trust |

dismissed or converted, no funds

Event used by Trustee's 341 Filings:

| | |
|--------------|--|
| Type/subtype | trustee / dcnofund |
| Functions | SatRelSched('341mtg','sat'); |
| Select text | Chapter 7 Trustee's Report of No Distribution - dismissed or converted, no funds |
| Docket text | Chapter 7 Trustee's Report of No Distribution: ~FormatText('I, {trustee_name}')~, having been appointed trustee of the estate of the above-named debtor(s), report that this case was dismissed, converted, or reassigned. I have neither received any property nor paid any monies on account of this estate. Pursuant to Fed R Bank P 5009, I hereby certify that the chapter 7 estate of the above-named debtor(s) has been fully administered. I request that I be discharged from any further duties as trustee. <i>[Insert any additional text at or before this point]</i> Key information about this case as reported in schedules filed by the debtor(s) or otherwise found in the case record: ~FormatText('This case was pending for {months_pending} months.')-> Assets Abandoned: Not Applicable, Assets Exempt: Not Applicable, Claims Scheduled: Not Applicable, Claims Asserted: Not Applicable, Claims scheduled to be discharged without payment: Not Applicable. |
| List | xtrust |

Event for Bankruptcy Events / Trustee list:

| | |
|--------------|--|
| Type/subtype | trustee / dcnofman |
| Functions | file;RelSched('341mtg','s');TrNoDistribution('dcnofund');Flags(reset,ASSET); |
| Select text | Chapter 7 Trustee's Report of No Distribution - dismissed or converted, no funds |
| Docket text | Chapter 7 Trustee's Report of No Distribution: ~FormatText('I, {trustee_name}')~, having been appointed trustee of the estate of the above-named debtor(s), report that this case was dismissed, converted, or reassigned. I have neither received any property nor paid any monies on account of this estate. Pursuant to Fed R Bank P 5009, I hereby certify that the chapter 7 estate of the above-named debtor(s) has been fully administered. I request that I be discharged from any further duties as trustee. <i>[Insert any additional text at or before this point]</i> Key information about this case as reported in schedules filed by the debtor(s) or otherwise found in the case record: ~FormatText('This case was pending for {months_pending} months.')-> Assets Abandoned: Not Applicable, Assets Exempt: Not Applicable, Claims Scheduled: Not Applicable, Claims Asserted: Not Applicable, Claims scheduled to be discharged without payment: Not Applicable. |
| List | trust |

minimal funds collected

Event used by Trustee's 341 Filings:

| | |
|--------------|--|
| Type/subtype | trustee / minfund |
| Functions | SatRelSched('341mtg','sat'); |
| Select text | Chapter 7 Trustee's Report of No Distribution - minimal funds collected |
| Docket text | Chapter 7 Trustee's Report of No Distribution: ~FormatText('I, {trustee_name},')~ having been appointed trustee in the estate of the above-named debtor(s) report I collected funds totaling ~FormatText('Funds Collected: \$ {funds_collected}')~. After making diligent inquiry into the financial affairs of the debtor(s) and the location of the property belonging to the estate, I have determined that there are insufficient assets to administer. All funds have been returned. All bank statements and canceled checks, if any , have been submitted to the United States Trustee. The bank statements reflect a final zero balance and no other funds or assets of the estate remain in my custody. Pursuant to Fed R Bank P 5009, I hereby certify that the estate of the above-named debtor(s) has been fully administered. I request that I be discharged from any further duties as trustee. <i>[Insert any additional text at or before this point]</i> Key information about this case as reported in schedules filed by the debtor(s) or otherwise found in the case record: ~FormatText('This case was pending for {months_pending} months.')~ Assets Abandoned: ~FormatText('\$ {assets_abandoned},','Not Available,')~ Assets Exempt: ~FormatText('\$ {assets_exempt},','Not Available,')~ Claims Scheduled:~FormatText(' \$ {claims_scheduled},','Not Available,')~ Claims Asserted: Not Applicable, Claims scheduled to be discharged without payment:~FormatText(' \$ {claims_discharged},','Not Available')~. |
| List | xtrust |

Event for Bankruptcy Events / Trustee list:

| | |
|--------------|--|
| Type/subtype | trustee / minfman |
| Functions | file;RelSched('341mtg','s');TrNoDistribution('minfund');Flags(reset,ASSET); |
| Select text | Chapter 7 Trustee's Report of No Distribution - minimal funds collected |
| Docket text | Chapter 7 Trustee's Report of No Distribution: ~FormatText('I, {trustee_name},')~ having been appointed trustee in the estate of the above-named debtor(s) report I collected funds totaling ~FormatText('Funds Collected: \$ {funds_collected}')~. After making diligent inquiry into the financial affairs of the debtor(s) and the location of the property belonging to the estate, I have determined that there are insufficient assets to administer. All funds have been returned. All bank statements and canceled checks, if any , have been submitted to the United States Trustee. The bank statements reflect a final zero balance and no other funds or assets of the estate remain in my custody. Pursuant to Fed R Bank P 5009, I hereby certify that the estate of the above-named debtor(s) has been fully administered. I request that I be discharged from any further duties as trustee. <i>[Insert any additional text at or before this point]</i> Key information about this case as reported in schedules filed by the debtor(s) or otherwise found in the case record: ~FormatText('This case was pending for {months_pending} months.')~ Assets Abandoned: ~FormatText('\$ {assets_abandoned},','Not Available,')~ Assets Exempt: ~FormatText('\$ {assets_exempt},','Not Available,')~ Claims Scheduled:~FormatText(' \$ {claims_scheduled},','Not Available,')~ Claims Asserted: Not Applicable, Claims scheduled to be discharged without payment:~FormatText(' \$ {claims_discharged},','Not Available')~. |
| List | trust |

no funds

Event used by Trustee’s 341 Filings:

| | |
|--------------|--|
| Type/subtype | trustee / nofund |
| Functions | SatRelSched('341mtg','sat'); |
| Select text | Chapter 7 Trustee's Report of No Distribution |
| Docket text | Chapter 7 Trustee's Report of No Distribution: ~FormatText('I, {trustee_name},')~ having been appointed trustee of the estate of the above-named debtor(s), report that I have neither received any property nor paid any money on account of this estate; that I have made a diligent inquiry into the financial affairs of the debtor(s) and the location of the property belonging to the estate; and that there is no property available for distribution from the estate over and above that exempted by law. Pursuant to Fed R Bank P 5009, I hereby certify that the estate of the above-named debtor(s) has been fully administered. I request that I be discharged from any further duties as trustee. <i>[Insert any additional text at or before this point]</i> Key information about this case as reported in schedules filed by the debtor(s) or otherwise found in the case record: ~FormatText('This case was pending for {months_pending} months.~)~ Assets Abandoned: ~FormatText('\$ {assets_abandoned},','Not Available,')~ Assets Exempt: ~FormatText('\$ {assets_exempt},','Not Available,')~ Claims Scheduled: ~FormatText('\$ {claims_scheduled},','Not Available,')~ Claims Asserted: Not Applicable, Claims scheduled to be discharged without payment: ~FormatText('\$ {claims_discharged}','Not Available')~. |
| List | xtrust |

Event for Bankruptcy Events / Trustee list:

| | |
|--------------|--|
| Type/subtype | trustee / nofunman |
| Functions | file;RelSched('341mtg','', 's');TrNoDistribution('nofund');Flags(reset,ASSET); |
| Select text | Chapter 7 Trustee's Report of No Distribution |
| Docket text | Chapter 7 Trustee's Report of No Distribution: ~FormatText('I, {trustee_name},')~ having been appointed trustee of the estate of the above-named debtor(s), report that I have neither received any property nor paid any money on account of this estate; that I have made a diligent inquiry into the financial affairs of the debtor(s) and the location of the property belonging to the estate; and that there is no property available for distribution from the estate over and above that exempted by law. Pursuant to Fed R Bank P 5009, I hereby certify that the estate of the above-named debtor(s) has been fully administered. I request that I be discharged from any further duties as trustee. <i>[Insert any additional text at or before this point]</i> Key information about this case as reported in schedules filed by the debtor(s) or otherwise found in the case record: ~FormatText('This case was pending for {months_pending} months.~)~ Assets Abandoned: ~FormatText('\$ {assets_abandoned},','Not Available,')~ Assets Exempt: ~FormatText('\$ {assets_exempt},','Not Available,')~ Claims Scheduled: ~FormatText('\$ {claims_scheduled},','Not Available,')~ Claims Asserted: Not Applicable, Claims scheduled to be discharged without payment: ~FormatText('\$ {claims_discharged}','Not Available')~. |
| List | trust |

Note: the trustee_name variable included in the docket text definitions will display the name of the user docketing the event – usually the trustee. If the above events for the Bankruptcy Events / Trustee list will be docketed by a court user rather than the trustee, the definition should therefore be modified to include “DocketText(edit:crt)” in the Functions field, so that the court user can change his name in the docket text to that of the trustee.

| TrNoDistribution | |
|---------------------------|---|
| Purpose | Collects data for the docket text that is required for the Trustee's Report of No Distribution. |
| Parameters | <p>TrNoDistribution(<i>status</i>)</p> <p><i>status</i> = the status of the case</p> <p><i>nofund</i> – no funds were collected. Prompt for Assets Exempt, Assets Abandoned, Claims Discharged, Claims Scheduled</p> <p><i>dcfund</i> – the case was dismissed or converted, funds were collected; all funds were returned. Prompt for Assets Collected.</p> <p><i>dcnofund</i> – the case was dismissed or converted, no funds were collected.</p> <p><i>minfund</i> – minimal funds were collected but there were insufficient assets to administer. Prompt for Assets Exempt, Assets Abandoned, Claims Discharged, Claims Scheduled, Assets Collected.</p> |
| Processing effect | Displays an appropriate screen for entry of data. |
| Database effect | None. |
| Docket text effect | <p>Sets the value of these variables:</p> <p>months_pending = number of months the case was pending</p> <p>assets_abandoned = the dollar amount of assets that were abandoned</p> <p>assets_exempt = the dollar amount of assets that were exempt</p> <p>claims_scheduled = the dollar amount of claims that were scheduled</p> <p>claims_discharged = the dollar amount of claims that were discharged</p> <p>funds_collected = the dollar amount of funds that were collected</p> <p>trustee_name = the name of the current user (usually the trustee)</p> <p>Use ~FormatText to include the contents of the above variables in the docket text.</p> |
| Constraints | Do not use in events for batch or multi-case docketing. |

Noticing

- If disposition method is included on your notices, you should modify the templates to include the joint debtor's disposition (dispMethjt).
- Since dismissed and discharged dates are now recorded for individual debtors rather than for the case, you should review the Notice of Dismissal and Notice of Discharge forms. You may want to use two different forms depending on whether one or both parties are affected so that the language is correct.

Utilities

- Utilities Menu

The display name of the “Unload a Document Type Table” option (whose entry value is “GetDocType.pl”) is changed by the installation process to “Unload **the** Document Type Table **to the screen**” since the program now only performs that function. For consistent naming, use the “Edit Menus” option on the Access Control menu to change the current names to “Edit **the** Document Type Table” and “Load **the** Document Type Table”.

The new “Unload the Document Type Table **to a file**” item is added to the end of the Utilities menu by the installation script, with a Header value of “New R3.3 Menu Items”. You can place it with the “Unload **..to the screen**” option by simply changing the sort key and the header.

See Chapter VII of the *CM/ECF Application Administrator’s Guide* for details about editing menus.

| | | |
|------------------------|--|--|
| Menu | Utilities | |
| Entry type | program | <input type="checkbox"/> Allow internet access |
| Display name | Unload the Document Type Table to a file | |
| Entry | DocTypeFile.pl | |
| Subprogram name | | |
| Sort key | ??? | |
| Header | Miscellaneous | |
| | | |

Permission for this program is given to the Administrator and Judge groups. You should also grant permission to the Docket Clerk / Case Manager and Quality Control groups.

Miscellaneous

- Release 3.2 added a feature whereby a user can display the items on a CM/ECF menu by hovering over its name on the main blue menu bar. If you wish to disable this feature, create the site table entry “NoPulldownMenus” with any (non-blank) value.
- After Release 3.3 has been installed, you should run the “Special Joint Cases Report” (Utilities/New R3.3 Menu Items). It lists all open joint cases that either were discharged or dismissed prior to Release 3.3 but have no joint debtor discharged/dismissed date, or have no discharged/dismissed date but have a disposition. If a discharge or dismissal also applies to the joint debtor, use the Edit Case Data utility to fill in the dismissed/discharged/disposition dates and the disposition for the joint debtor, if necessary. *NOTE: this report is made available only for members of the Administrator group and can be removed from the menu when you complete this task.*

In addition, when a joint case is reopened, you should check whether it was closed prior to the live installation of Release 3.3; if so, you may need to provide the data for the joint debtor as described above.

- The “UpdateStats” system utility is normally run weekly via a cron job (see the *System Administrator’s Guide*). A new version of this utility is provided and should be run first against your test or train database (whichever is smallest). As root, give the following command, substituting your court abbreviation for *COURTID*:

```
/opt/util/wrapper -f /gov/ecf/etc/wrapper.config -e COURTID_train
-r ' /opt/util/UpdateStats -i COURTID_ecf -d COURTID_train >
/tmp/UpdateStats-log'
```

If the log file entries confirm that this ran correctly, run it against your live database. If the UpdateStats cron job normally finishes overnight (check the log file to determine this), set the cron job to run tonight, otherwise, run the job on the weekend.